

TAMPERE.
FINLAND

Business Tampere EU-hankkeen elinkaari

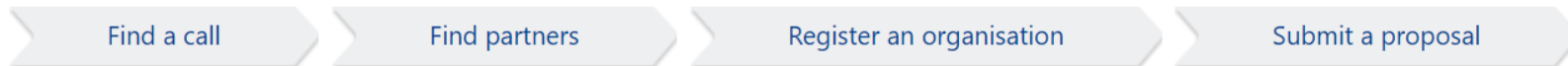
Webinar 5.10.2022

BUSINESS
TAMPERE

03.10.2022
Mika Kolari, BT

Grants

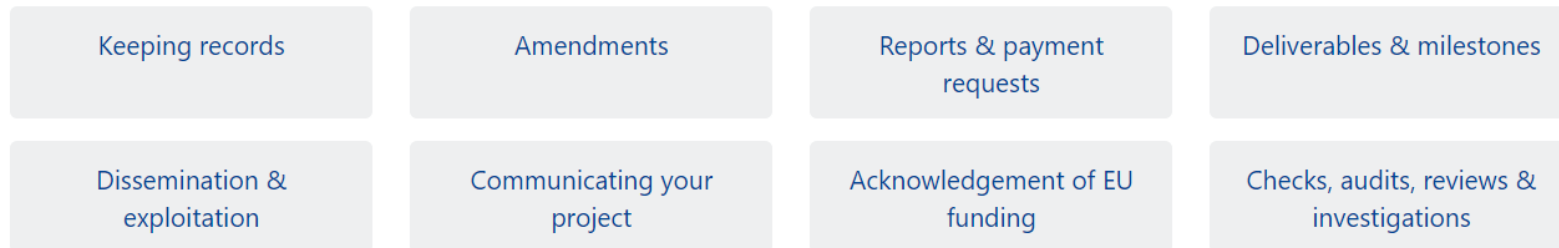
Applying for funding



Evaluation & Grant signature



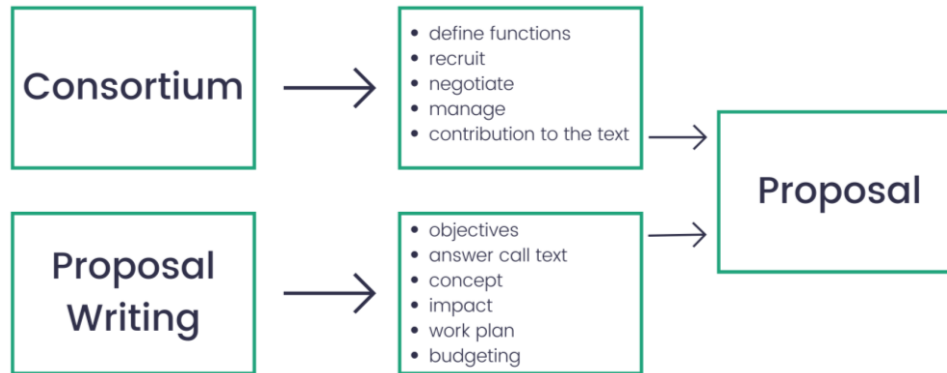
Grant management



Horizon Europe EU project – Applying for Funding

- 1. Idea:** You develop the idea of your project that you would like to submit. The idea is often developed within a consortium.
- 2. Call for proposals opens:** The [Funding & Tenders portal](#) of the European Commission opens the call for proposals. The consortium now works intensively on the proposal.
- 3. Deadline for submission:** This is the last day on which you can submit your proposal of the Funding & Tenders portal. Afterwards, it won't be possible anymore. The preparation period can **last between 6 and 12 months** on average.

Horizon Europe emphasis on: Excellent science, industrial leadership and tackling societal challenges



Consortium

- High impact on success rate
- Required for collaborative projects
- Need to be relevant and competitive
- Minimum 1 from EU member state and at least 2 additional from EU or associated countries'
- Logic in workflow and functions, no gaps or overlaps → Only relevant partners with real need and tasks
- Gantt chart + Pert chart with detailed written workflow

Consortium Agreement - establishes and governs the relations between the partners

- Intellectual Property Rights (IPR)
- Future use and commercialisation of the project's results.
- Knowledge transfer processes between project partners
- Internal management processes
- Reporting processes within the project consortium
- Conflict resolution processes...

Horizon Europe EU project – IPR (in CA)

- IPR strategy is typically done after selected for funding or after project start (not ideal)
- Background (what partner brings to project) and foreground (what is developed in project) IP
 - Patents
 - Copyright and related rights
 - Trade marks
 - Know-how
 - Trade secrets
 - Designs
 - Drawings
 - Reports
 - Methods of research and developments
 - Documented data
 - Description of inventions and discoveries...

Who owns which IPR to begin with?

How new IP is owned or shared?

Once the project produces results eligible for IP protection:

- **How will you exploit the results?**
- In case you are the sole owner or a joint owner of the IPR developed in the project, **will you enable free access rights to the partners once the project is through? If so, for how long?**
- Are there any technology licensing considerations which are relevant to this project?

When relevant to IPR – which confidentiality measures have been and will be put in place?

Horizon Europe –Proposal Development Timeline

| ACTION | Recommended due date |
|--|--------------------------------|
| 1. Prepare the preliminary project summary/abstract max 2 pages | T-90 days |
| 2. List of partners recruit, activate, 2 months before the call deadline | T-60 days |
| 3. Send out the proposal template with instructions delegate, help, manage | T-60 days |
| 4. Open a submission system in the Participant Portal set up, add (PIC#) | T-45 days |
| 5. Collect partners' administrative information validation, budget | T-45 days |
| 6. Have a first full draft of the proposal sections 1, 2 and 3 – eval. criterion | T-30 days |
| 7. Handle any supplementary aspects, such as ethics, security, etc finalize | T-30 days |
| 8. Finalize the budget min 2 weeks before (internal approval procedures) | T-15 days |
| 9. Near-final draft min 1 week for final touches and proofreading | T-7 days |
| 10. Final draft and first submission do not wait to last day (validation errors✓) | T-3 days |
| 11. Final submission | Submission Deadline (T) |

The proposal contains two parts:

- **Part A** of the proposal is generated by the IT system. It is based on the information entered by the participants through the submission system in the Funding & Tenders Portal. The participants can update the information in the submission system at any time before final submission.
- **Part B** of the proposal is the narrative part that includes three sections that each correspond to an evaluation criterion. Part B needs to be uploaded as a PDF document following the templates downloaded by the applicants in the submission system for the specific call or topic. The templates for a specific call may slightly differ from the example provided in this document.

The electronic submission system is an online wizard that guides you step-by-step through the preparation of your proposal.

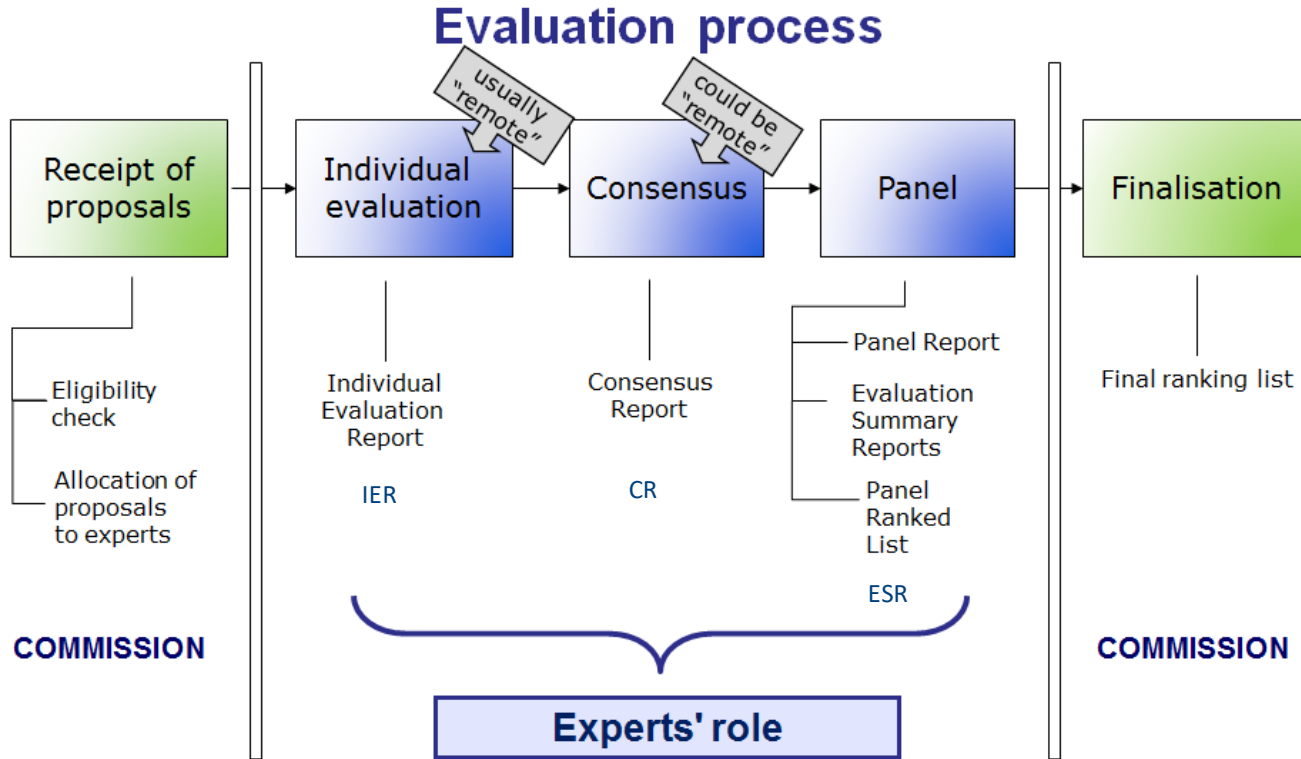
The submission process consists of 6 steps:

- Step 1: Logging in the Portal
- Step 2: Select the call, topic and type of action in the Portal
- Step 3: Create a draft proposal: Title, acronym, summary, main organisation and contact details
- Step 4: Manage your parties and contact details: add your partner organisations and contact details.
- Step 5: Edit and complete web forms for proposal part A and upload proposal part B
- Step 6: Submit the proposal



Standard evaluation process







Admissibility, eligibility checks and additional requirements

Admissibility is checked by EU staff.

- Applications must be **complete** and contain all parts and mandatory annexes and supporting documents.
- Applications must be **readable, accessible and printable**.
- Applications must include a **plan for the exploitation and dissemination of results including communication activities** (n/a for applications at the first stage of two-stage procedures or unless otherwise provided in the specific call conditions).
- Specific **page limits** per type of action normally apply (specified in the topic conditions and controlled by IT tool).

Eligibility is checked by EU staff. If you spot an issue, please inform the EU staff.

- Eligible activities are the ones described in the call conditions.
- Minimum number of partners as set out in the call conditions (at least one independent legal entity established in a MS, and, at least two other independent legal entities established either in a MS or AC).
- For calls with deadlines in 2022 and onwards participants that are public bodies, research organisations or higher education establishments from Members States and Associated countries must have a gender equality plan in place.
- Other criteria may apply on a call-by-call basis as set out in the call conditions. In few cases, the call conditions in the topic can modify the interpretation of criteria.



Evaluation (award) criteria

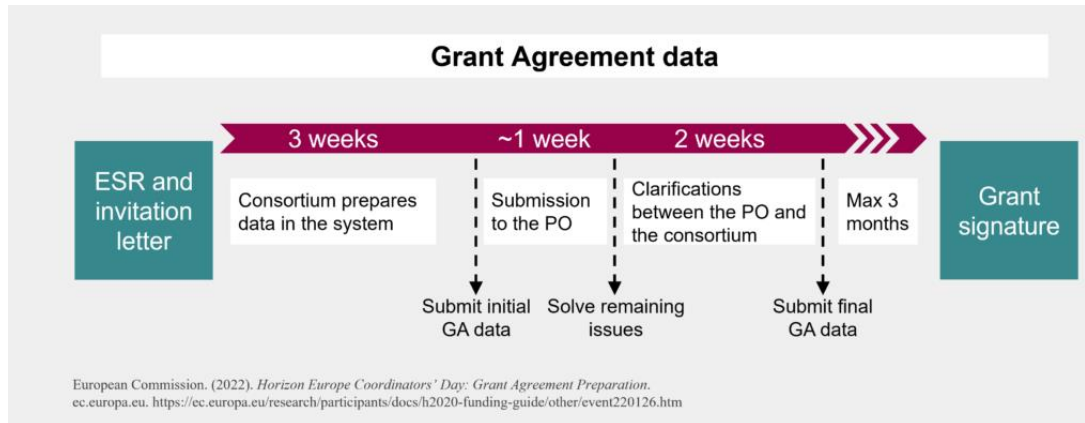
Three evaluation criteria

'**Excellence**', '**Impact**' and '**Quality and efficiency of the implementation**'.

(Only one evaluation criterion for ERC - Excellence)

- Evaluation criteria are **adapted** to each **type of action**, as specified in the WP
- Each criterion includes the '**aspects to be taken into account**'. The same aspect is not included in different criteria, so it is not assessed twice.
- **Open Science** practices are assessed as part of the scientific methodology in the excellence criterion.

- 1. Outcome of the evaluation:** Through the Funding & Tenders portal, you will be informed if your proposal has been funded or not. The success rate can vary significantly from one call to the other. The **evaluation period can last up to 5 months.**
- 2. Preparation of the Grant Agreement:** The consortium and mainly the coordinator is preparing with the European Commission the Grant Agreement through the Funding & Tenders portal. This period can last **up to 3 months.**
- 3. Signature of the Grant Agreement:** All beneficiaries are invited to sign the Grant Agreement.
- 4. Payment of the pre-financing:** The coordinator of the project will receive the pre-financing from the European Commission. The amount is defined in the Grant Agreement and is **usually between 60-80% of the total grant amount.**



- 1. Project launch:** It is the first day where the consortium officially starts to work on the project and report costs. It is usually the first day of the month following the signature of the Grant Agreement.
- 2. Project execution and reporting:** The project execution can last between 12-60 months on average and can contain various reporting periods.
- 3. Project end:** This is the last day the consortium can officially work on the project and report costs.
- 4. Audit:** For Horizon Europe projects, if the beneficiary received more than 430.000€ of grant, it will have to pass through a CFS audit.
- 5. Final reporting:** 60 days after the last day of the project, the consortium has to submit through the Funding & Tenders portal all pending deliverables, financial statements, and certificates of CFS audits if requested.
- 6. Payment of the final balance:** The European Commission will revise the performance as well as the financial reports and eventually reduce the grant if obligations have not been made. This is the case for under-performance or ineligibility of costs. After this done, the European Commission will pay to the coordinator the payment of the balance and the Mutual Insurance Mechanism.

Horizon Europe EU project –Successful Proposal

#1. Strong project idea –what you like to submit

- Game changing innovation
(go beyond state-of-the-art)
- Risky
- Detailed work plan –adapt to EU requirements
- The idea is often developed within a consortium
(show that you are interconnected)

#2. High impact

- Large market opportunity
- Credible go to market strategy
- Ambitious BP
- Create jobs and profit
- Value for money

#3. Competent team (+ partners)

- Credible skills
- Relevant experience
- Balanced team
- Expertise in all required areas (PM, engineering, finance, sales etc.)
- Judicious subcontracting
- Talent acquisition plan